

Declaration for Patent Application

Docket Number: 1984.0010000

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled: Method, System, and Computer Program Product for Risk Assessment and Risk Management,

the specification of which is attached hereto unless the following box is checked:

- ☐ was filed on _____;
as United States Application Number or PCT International Application Number _____; and
was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information that became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or § 365(a) of any PCT international application, which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

0028499.2 (Application No.)	United Kingdom (Country)	22/11/2000 (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
0108045.6 (Application No.)	United Kingdom (Country)	30/3/2001 (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

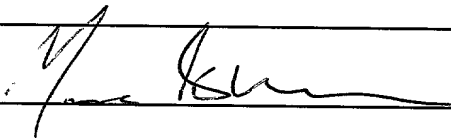
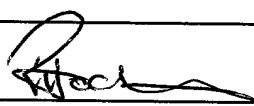
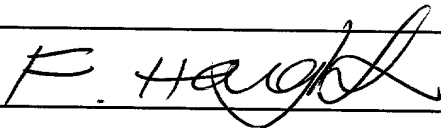
Send Correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934

Direct Telephone Calls to:

(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Marc BLADEN
Signature of sole or first inventor  31/10/2001 Date
Residence Swansea, United Kingdom
Citizenship United Kingdom
Mailing Address 33 SKETTY RD 31 Pentrematwcd Road MB 31/10/2001
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Full name of second inventor Richard HOCKING
Signature of second inventor  31/10/2001 Date
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Full name of third inventor Fred HOUGHTON
Signature of third inventor  8/11/2001 Date
Residence Wrexham, United Kingdom
Citizenship United Kingdom
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Full name of fourth inventor
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Signature of fourth inventor

Phil Jones

Date

30th Oct 2001

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Citizenship
United Kingdom

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Full name of fifth inventor
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Signature of fifth inventor

P. Matthews

Date

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Citizenship
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Full name of sixth inventor
Anthony RECORD

Signature of sixth inventor

Anthony Record

Date

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Citizenship
United Kingdom

Mailing Address
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Kent CT4 6GP, United Kingdom

100211 05233550

30/10/01

30/10/01

30/10/01

Full name of seventh inventor Mark SAINTY	
Signature of seventh inventor <i>M. R. Sainty</i>	01-11-2001 Date
Residence Swansea, United Kingdom	
Citizenship United Kingdom	
Mailing Address Phoenix House, 88-89 High Street Swansea, SA1 1LW, United Kingdom	
Full name of eighth inventor Adrian WALKER	
Signature of eighth inventor <i>AP Walker</i>	Date 30 OCT 2001
Residence Surrey, United Kingdom	
Citizenship United Kingdom	
Mailing Address 14 Burlington Avenue, Kew Gardens, Richmond, Surrey TW9 4DQ, United Kingdom	
Full name of ninth inventor Rhys WILLIAMS	
Signature of ninth inventor <i>rhys williams</i>	Date 30 OCT 2001
Residence Cynon Taff, United Kingdom <i>gmw</i> CAERPHILLY, UNITED KINGDOM	
Citizenship United Kingdom	
Mailing Address 47 Blaenrhondda Road, Blaenrhondda, Rhondda <i>gmw</i> 7 WARE ROAD, CASTLE VIEW, CAERPHILLY	
Cynon Taff, CF42 5DL, United Kingdom <i>gmw</i> CF83 1SX, U.K.	

Full name of tenth inventor Philip JOHNSON	
Signature of tenth inventor	<i>P. Johnson</i> 30/10/01 Date
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Citizenship United Kingdom	
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Full name of eleventh inventor Michael RAYMOND	
Signature of eleventh inventor	<i>[Signature]</i> 10/30/01 Date
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Citizenship United Kingdom STATES OF AMERICA	
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Hampstead NW3 2RJ, United Kingdom	
Full name of twelfth inventor Leslie TOMLINSON	
Signature of twelfth inventor	<i>L. Tomlinson</i> 30/10/01 Date
Residence Gwent, United Kingdom	
Citizenship United Kingdom	
Mailing Address 45 Mill Common, Undy Magor Newport	
Gwent NP26 3JH, United Kingdom	

POWER OF ATTORNEY FROM ASSIGNEE

2a National Britannia Group Ltd., a corporation of United Kingdom, having a principal place of business at Caerphilly Business Park, Caerphilly CF83 3ED, United Kingdom, is assignee of the entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100), by reason of an Assignment to the Assignee executed on (1) 31/10/2001, (2) 31/10/01, (3) 8/11/2001, (4) 30 Oct 2001, (5) 30/10/2001, (6) 6/11/2001, (7) 1-11-2001, (8) 30 Oct 2001, (9) 30 Oct 2001, (10) 30/10/01, (11) 30 Oct 01, and (12) 30/10/01 of an invention known as Method, System, and Computer Program Product for Risk Assessment and Risk Management (Attorney Docket No. 1984.0010000), which is disclosed and claimed in a patent application of the same title by the inventors (1) Marc BLADEN, (2) Richard HOCKING, (3) Fred HOUGHTON, (4) Phil JONES, (5) Peter MATTHEWS, (6) Anthony RECORD, (7) Mark SAINTY, (8) Adrian WALKER, (9) Rhys WILLIAMS, (10) Philip JOHNSON, (11) Michael RAYMOND, and (12) Leslie TOMLINSON (said application filed on herewith) at the U.S. Patent and Trademark Office, having Application Number (to be assigned).

The Assignee hereby appoint the U.S. attorneys associated with **CUSTOMER NUMBER 28393** to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith, such attorneys currently being: Robert Greene Sterne, Esq., Reg. No. 28,912; Edward J. Kessler, Esq., Reg. No. 25,688; Jorge A. Goldstein, Esq., Reg. No. 29,021; David K.S. Cornwell, Esq., Reg. No. 31,944; Robert W. Esmond, Esq., Reg. No. 32,893; Tracy-Gene G. Durkin, Esq., Reg. No. 32,831; Michele A. Cimbala, Esq., Reg. No. 33,851; Michael B. Ray, Esq., Reg. No. 33,997; Robert E. Sokohl, Esq., Reg. No. 36,013; Eric K. Steffe, Esq., Reg. No. 36,688; Michael Q. Lee, Esq., Reg. No. 35,239; Steven R. Ludwig, Esq., Reg. No. 36,203; John M. Covert, Esq., Reg. No. 38,759; and Linda E. Alcorn, Esq., Reg. No. 39,588. The Assignee hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

Send correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934
U.S.A.

Direct phone calls to 202-371-2600.

FOR: NATIONAL BRITANNIA GROUP LTD

SIGNATURE: Adrian Walker

BY: ADRIAN WALKER

TITLE: GROUP SYSTEMS DEVELOPMENT DIRECTOR

DATE: 8 NOVEMBER 2001

**DO NOT FORWARD
TO ASSIGNMENT BRANCH
NOT FOR RECORDATION**

Atty. Docket No.: 1984.0010000

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: (1) Marc BLADEN, (2) Richard HOCKING, (3) Fred HOUGHTON, (4) Phil JONES, (5) Peter MATTHEWS, (6) Anthony RECORD, (7) Mark SAINTY, (8) Adrian WALKER, (9) Rhys WILLIAMS, (10) Philip JOHNSON, (11) Michael RAYMOND and (12) Lestie TOMLINSON, the undersigned inventors hereby sell and assign to National Britannia Group Ltd. (the Assignee) his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box(es) ☒ for the United States of America (as defined in 35 U.S.C. § 100),
☒ and throughout the world,

(a) in the invention(s) known as Method, System, and Computer Program Product for Risk Assessment and Risk Management for which application(s) for patent in the United States of America has (have) been executed by the undersigned on (1) 31/10/2001, (2) 31/10/01, (3) 8/11/2001, (4) 30/10/2001, (5) 30/10/2001, (6) 6/11/2001, (7) 1-11-2001, (8) 30 OCT 2001, (9) 20 OCT 2001, (10) 30/10/01, (11) 30/10/01, and (12) 30/10/01 known as United States Application No. (to be assigned), filed (herewith), in any and all applications thereon, in any and all Letters Patent(s) therefor, and

(b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

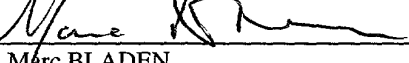
The undersigned inventor(s) hereby represent(s) that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

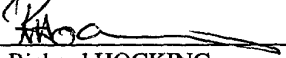
DO NOT FORWARD
TO ASSIGNMENT BRANCH
NOT FOR RECORDATION


Atty. Docket No.: 1984.0010000


The undersigned inventor(s) hereby grant(s) Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29,021; David K.S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Esquire, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831; Michele A. Cimbala, Esquire, Registration No. 33,851; Michael B. Ray, Esquire, Registration No. 33,997; Robert E. Sokohl, Esquire, Registration No. 36,013; Eric K. Steffe, Esquire, Registration No. 36,688; Michael Q. Lee, Esquire, Registration No. 35,239; Steven R. Ludwig, Esquire, Registration No. 36,203; John M. Covert, Esquire, Registration No. 38,759; and Linda E. Alcorn, Esquire, Registration No. 39,588; all of STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C., 1100 New York Avenue, N.W., Suite 600, Washington, D.C. 20005-3934, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

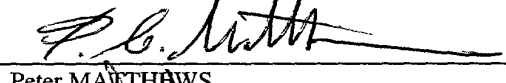
IN WITNESS WHEREOF, executed by the undersigned inventor(s) on the date opposite his/her name.


Date: 31st October Signature of Inventor:  (1)
Marc BLADEN

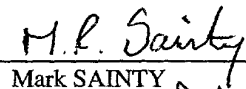
Date: 31/10/01 Signature of Inventor:  (2)
Richard HOCKING


Date: 8/11/2001 Signature of Inventor:  (3)
Fred HOUGHTON


Date: 30th October 2001 Signature of Inventor:  (4)
Phil JONES


Date: 30/10/01 Signature of Inventor:  (5)
Peter MATTHEWS


Date: 6/10/2001 Signature of Inventor:  (6)
Anthony RECORD

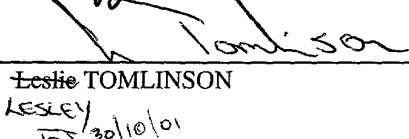
Date: 01-11-2001 Signature of Inventor:  (7)
Mark SAINTY

Date: 30 OCTOBER 2001 Signature of Inventor:  (8)
Adrian WALKER

Date: 30 OCTOBER 2001 Signature of Inventor:  (9)
Rhys WILLIAMS

Date: 30/10/01 Signature of Inventor:  (10)
Philip JOHNSON

Date: 30 OCT 01 Signature of Inventor:  (11)
Michael RAYMOND

Date: 30/10/01 Signature of Inventor:  (12)
Leslie TOMLINSON
LESLEY
30/10/01

FOOT "08233550